

JC985 U.S. PTO  
08/22/01

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Please type a plus sign (+) inside this box → Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. B-72914  
First Inventor Marcellus Buchheit  
Title Procedure for the Protection of Computer Software and/or Computer Express Mail Label No. ET241037221US

08/22/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification [Total Pages 19] (preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	a. <input type="checkbox"/> Computer Readable Form (CRF)	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets 8 ]	b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul>	
5. Oath or Declaration [ Total Pages 3 ]	c. <input type="checkbox"/> Statements verifying identity of above copies	
a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. <input type="checkbox"/> (for continuation/divisional with Box 18 completed) <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul>	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:		11. <input checked="" type="checkbox"/> English Translation Document (if applicable)
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) Prior application information: Examiner _____ Group Art Unit: _____		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
		13. <input checked="" type="checkbox"/> Preliminary Amendment
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
		15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
		16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
		17. <input type="checkbox"/> Other: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	Customer Number: 200-3344 Insert Customer No. or attach bar code label here.	or <input type="checkbox"/> Correspondence address below	
Name	Michael E. Martin		
Address	Akin, Gump, Strauss, Hauer & Feld, LLP P.O. Box 688		
City	Dallas	State	TX
Country	US	Telephone	214-969-4630
Name (Print/Type)	Michael E. Martin	Registration No. (Attorney/Agent)	24,821
Signature	Michael E. Martin		Date 8/22/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 373)

## Complete if Known

Application Number	
Filing Date	August 22, 2001
First Named Inventor	
Examiner Name	
Group Art Unit	
Attorney Docket No.	B-72914

## METHOD OF PAYMENT:

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-0657

Deposit Account Name Akin, Gump, Strauss, Hauer & Feld, LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	355
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	
SUBTOTAL (1) (\$ 355)			

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
22		-20** =	2 x 9 =	18
3		-3** =	0 x 40 =	0
Multiple Dependent				

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 18)		

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

Name (Print/Type)	Michael E. Martin	Registration No. (Attorney/Agent)	24,821	Telephone	214-969-4630	
Signature	Michael E. Martin				Date	8/22/01

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

MARCELLUS BUCHHEIT,  
OLIVER WINZENRIED

Group Art Unit: N/A

Filed:

Examiner: N/A

Serial No.:

For:

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**STATEMENT OF ACCURACY OF TRANSLATION**  
**(37 C.F.R. §1.52(d), 1.69)**

I, the below named translator, hereby state that:

My name and post office address are as stated below;

I am knowledgeable in the English language and in the language of the document identified below; and

I believe the attached English translation to be a true and complete translation of this document.

The document for which the attached English translation is being submitted is European Patent Application EP 00 118 372.2 of August 24, 2000.

Respectfully submitted,

Date: 19 July 2001

Signature of Translator: William G. Sneed

Printed Name of Translator: WILLIAM G. SNEED

Post Office Address: 2425 NW 55 PLACE  
OKLAHOMA CITY OK 73112

Attorney Docket  
No. B-72914

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

MARCELLUS BUCHHEIT,  
OLIVER WINZENRIED

Group Art Unit:

Serial No.:

Examiner:

Filed: HEREWITH

For: PROCEDURE FOR THE PROTECTION OF  
COMPUTER SOFTWARE AND/OR COMPUTER-  
READABLE DATA AS WELL AS PROTECTIVE  
EQUIPMENT

Box **PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE OF MAIL BY "EXPRESS MAIL"**

"Express Mail" Mailing Label No. ET241037221US

Date of Deposit: August 22, 2001

I hereby certify that the attached patent application including patent application transmittal, fee transmittal, Application Data Sheet, complete German language copy of priority application, including 19 pages of specification, claims and abstract, 8 sheets of drawing, and executed Declaration for Utility Patent Application, certified copy of priority application (European Patent Application EP 00 118 372.2 filed August 24, 2000), certified English translation of priority application, Preliminary Amendment including substitute specification and new Claims 13 through 34, 8 sheets of formal drawings and check for \$373 referred to as enclosed herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 in an envelope addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on the date of deposit indicated above.

Michael E. Martin  
(Typed Name of Person Depositing  
Envelope in Express Mail Facility)

Michael E. Martin  
Signature

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